



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gary L. Gastineau  
Serial No. : 09/536,663  
Filed : March 27, 2000  
Title : DETERMINING INTRA-DAY NET ASSET VALUE OF AN ACTIVE  
MANAGED EXCHANGE TRADED FUND

Art Unit : Unknown  
Examiner : Unknown

RECEIVED  
AUG 17 2000  
TC 2700 MAIL ROOM

Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

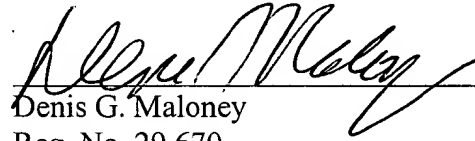
Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

8/8/2000

  
Denis G. Maloney  
Reg. No. 29,670

Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906

20067971.doc


## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

August 8, 2000

Signature

  
Marie Collins

Typed or Printed Name of Person Signing Certificate